# Adversarial Sample Detection for Deep Neural Network through Model Mutation Testing

Jingyi Wang<sup>1,4</sup>

With Guoliang Dong<sup>2</sup>, Jun Sun<sup>3,4</sup>, Xinyu Wang<sup>2</sup>, and Peixin Zhang<sup>2</sup>

I National University of Singapore

2 Zhejiang University

3 Singapore Management University

4 Singapore University of Technology and Design









# ML achieves human-level performance

#### Deep learning models

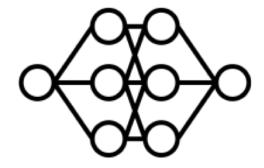




image classification



game playing



machine translation



malware detection

# Safety threats

#### Can make mistakes

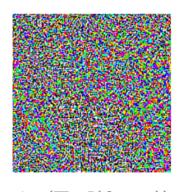


#### Vulnerable to adversarial attacks

 $+.007 \times$ 



x
"panda"
57.7% confidence



 $sign(\nabla_{\boldsymbol{x}}J(\boldsymbol{\theta},\boldsymbol{x},y))$ "nematode"
8.2% confidence



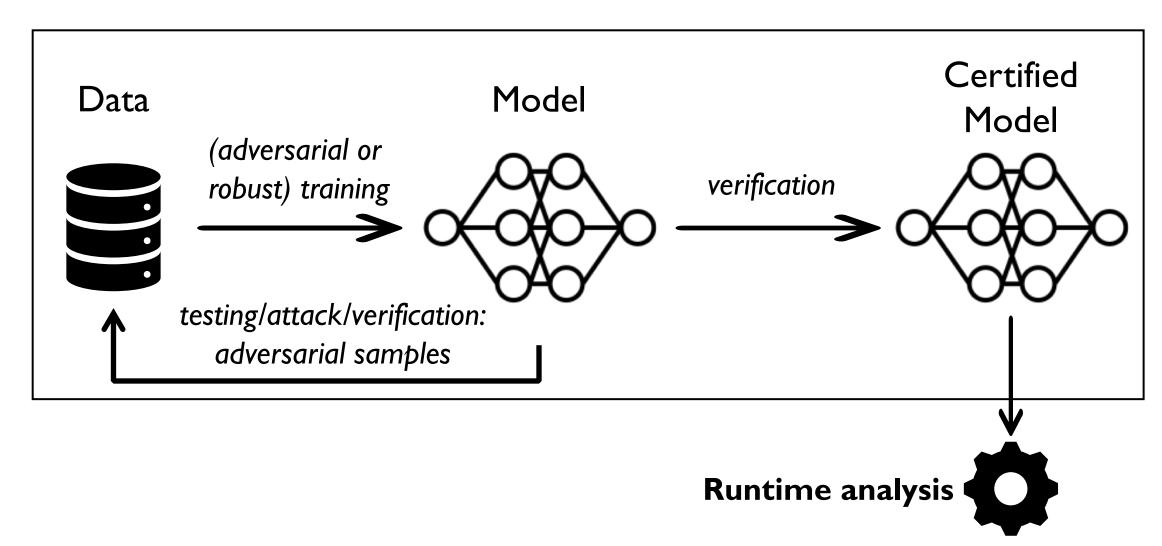
 $x + \epsilon sign(\nabla_x J(\theta, x, y))$ "gibbon"

99.3 % confidence

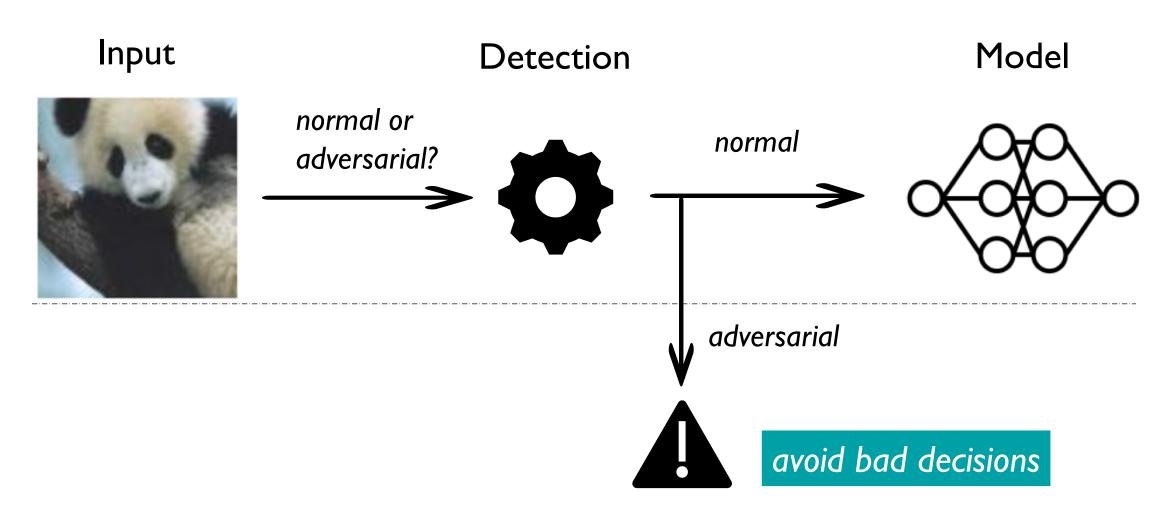
https://www.theregister.co.uk/2017/06/20/tesla\_death\_crash\_accident\_report\_ntsb/

Goodfellow et al, Explaining and Harnessing Adversarial Examples, ICLR'15

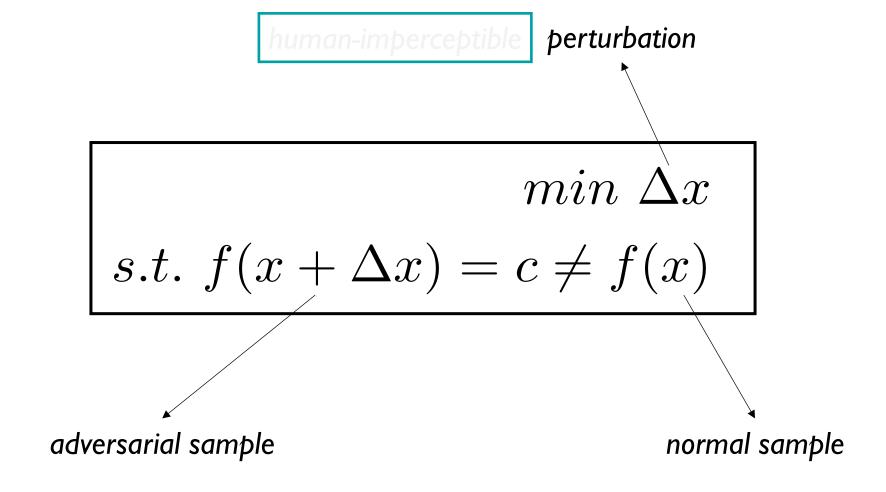
# Analysis of deep learning models



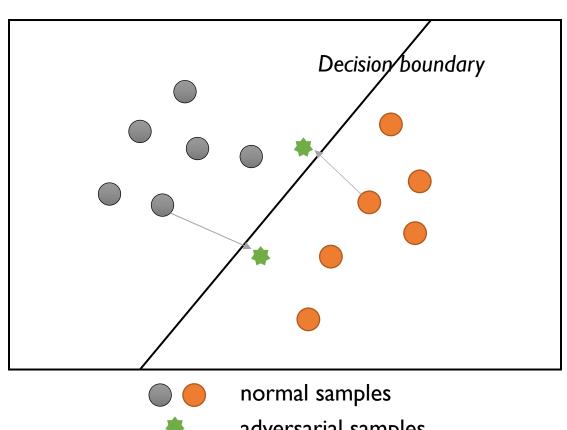
# Runtime adversarial sample detection



## Revisit adversarial attacks



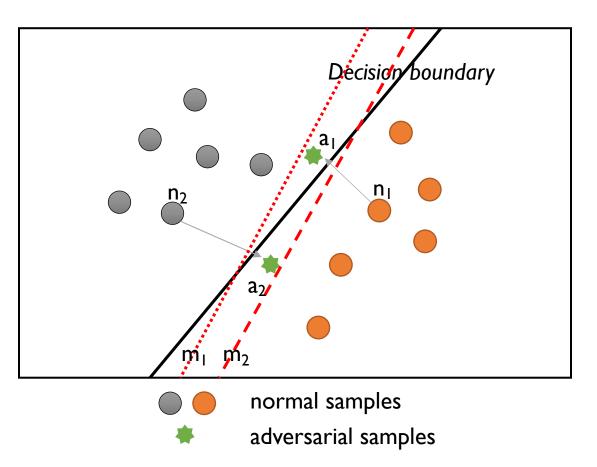
## Intuition



Most adversarial samples are near the decision boundary

Most normal samples are relatively far from the decision boundary

# Effect of mutating decision boundary



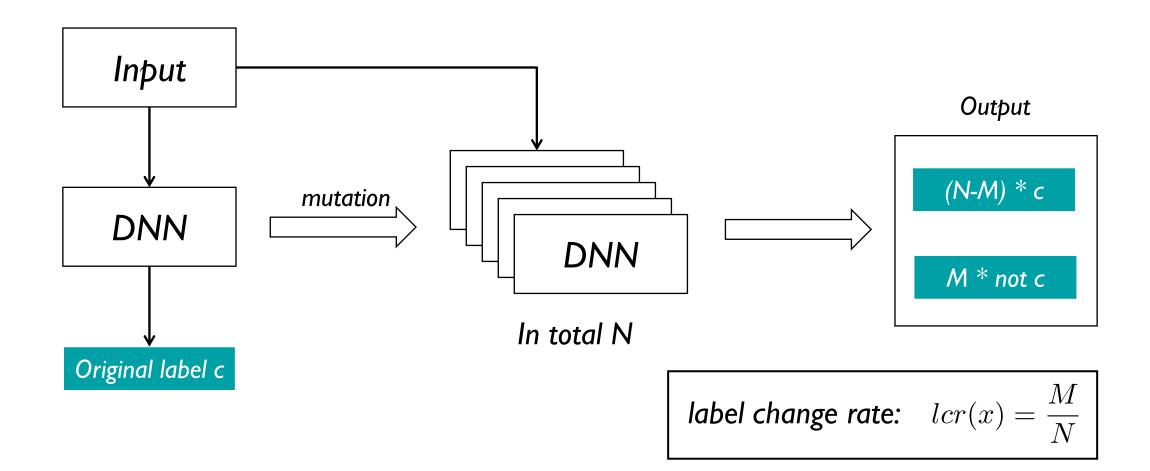
For samples near the decision boundary:

al is no more an adversarial sample for ml a2 is no more an adversarial sample for m2

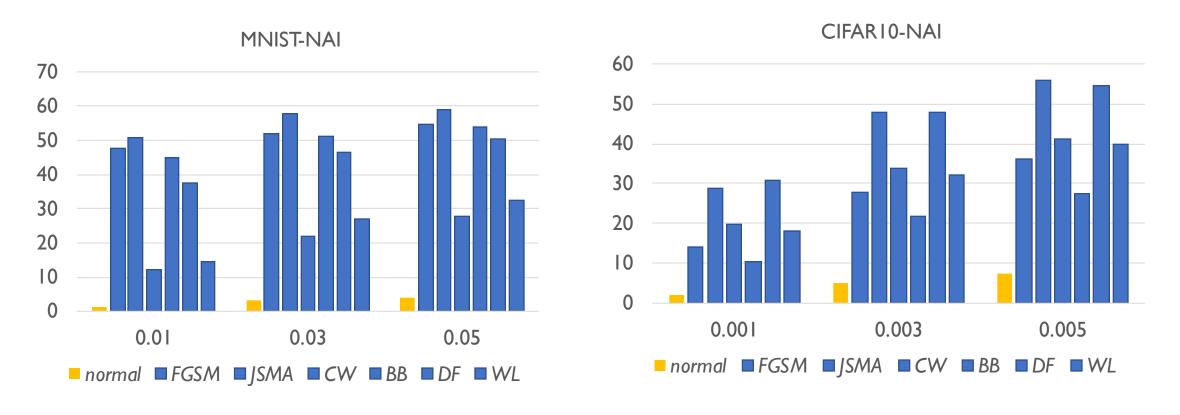
For samples far from the decision boundary:

n I and n2 are still normal samples

# Measure of sensitivity



# Label Change Rate is distinguishable



Take a set of adversarial and normal samples each, compute their average LCR under different model mutation rate

# Challenges

I. How to systematically and efficiently generate a set of models with slightly changed decision boundaries?

2. How to minimize the number of models needed?

## Challenge I: Generate a set of models- model mutation

Mutation operator	Level	Description
Gaussian Fuzzing (GF)	Weight	Fuzz weight by Gaussian Distribution
Weight Shuffling (WS)	Neuron	Shuffle selected weights
Neuron Switch (NS)	Neuron	Switch two neurons within a layer
Neuron Activation Inverse (NAI)	Neuron	Change the activation status of a neuron

We only keep models with at least 90% accuracy of the original model to make sure the decision boundary is only slightly changed

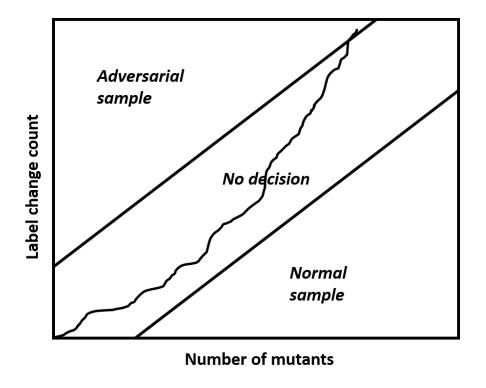
## Challenge 2: Dynamic Detection

#### Sequential Probability Ratio Test (SPRT)

Null hypothesis:  $lcr(x) \ge \epsilon$ 

Alternative hypothesis:  $lcr(x) \leq \epsilon$ 

Only relies on normal samples



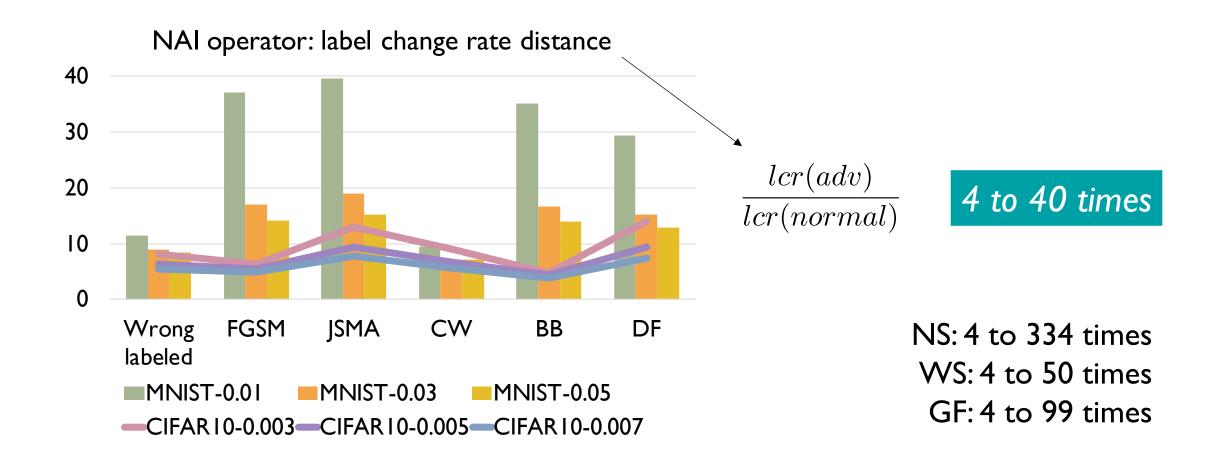
fast, error-bounded, guaranteed to terminate

# **Experiment Settings**

- Datasets
  - MNIST and CIFAR I 0
- 2. Models
  - MNIST: LeNet 98.5%/98.3%
  - CIFAR I 0: GoogLeNet 99.7%/90.5%
- 3. Adversarial sample generation
  - FGSM, JSMA, C&W, BlackBox, DeepFool, wrongly-labeled
  - 1000 each following the parameters of the original papers
- 4. SPRT detection parameters
  - Error bounds: 0.05, indifference region: 0.1\*threshold

The code and detailed results are <u>here!</u>

# RQI: Is there a significant difference between the LCR of adversarial samples and normal samples under different model mutations?



RQ2: How good is the LCR under model mutation as a measure for the detection of adversarial samples?

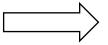
Dataset	Attack	AUROC	
MNIST	FGSM	0.9747	ROC
	JSMA	0.9975	
	C&W	0.9576	TPR
	BB	0.9789	AOC
	DF	0.9889	AOC
	WL	0.9727	
CIFAR 10	FGSM	0.8956	FPR
	JSMA	0.9737	
	C&W	0.926	
	BB	0.874	outperforms baselines 8 out of
	DF	0.9786	always among top 2
	WL	0.9185	

# RQ3: How effective is SPRT detection based on LCR under model mutation?

	GF	NAI	NS	WS
MNIST	94.9%	96.4%	83.9%	91.4%
CIFAR I 0	85.5%	90.6%	56.6%	74.8%

False positive:

normal samples near the decision boundary



where the model is not generalized well

False negative:

adversarial samples far from the decision boundary

violates human-imperceptible principle

### RQ4: What is the cost of our detection algorithm?

Dataset	C_f / ms	Operator	C_g / s	n
MNIST	0.7	NAI	6	69
	0.5	NS	6	173
	0.3	WS	7.5	108
	0.3	GF	1.5	91
CIFAR I 0	0.3	NAI	16	69
	0.5	NS	9.5	284
	0.4	WS	9	166
	0.4	GF	12	127

### Cost without caching model:

$$C = n \cdot (c_g + c_f)$$

#### Cost with cached models:

$$C = n \cdot (c_q + c_f)$$

Simple to parallel!

### Remarks

• Our approach is a **general** approach which does not rely on any adversarial samples

Our approach works better for better-generalized models

 Our approach works better for more carefully crafted adversarial samples

# Take-aways

• Empirical evidence on the intuition that most adversarial samples are close to the decision boundary

 Most adversarial samples are much more sensitive than most normal samples in terms of label change rate

 SPRT based on model mutation can detect adversarial samples of DNN at runtime efficiently

# Thank you and questions?